



APR 22 2004

JFW RCE/1700

PTO/SB/30 (10/01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provided for continued examination of a utility or plant application filed on or after June 8, 1995.

See The American Inventors Protection Act of 1999 (AIPA).

Application Number	09/744,113
Filing Date	March 19, 2001
First Named Inventor	Gabriele NELLES
Group Art Unit	1772
Examiner Name	Sow-Fun Hon
Attorney Docket Number	450117-03033

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. Submission required under CFR 1.114.

- a. ☒ Previously submitted
- i. ☒ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on **February 20, 2004**.
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply (Submission Pursuant to 37 C.F.R. § 1.114)
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

04/23/2004 MAHME1 00000131 09744113

01 FC:1801

770.00 00

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(I) required)
- b. ☐ Other _____

3. Fees

The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge any additional fees, or credit any overpayments, to **Deposit Account No. 50-0320**.
- b. ☒ Check in the amount of **\$1,610.00 (\$770.00 fee for RCE + \$840.00 for second and third months extension – first month previously paid)** is enclosed for the following:
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☒ Extension of time fee (37 CFR 1.136 and 1.17) (second and third month)
- iii. ☐ Other _____
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Samuel H. Megerditchian	Registration No. (Attorney/Agent)	45,678
Signature	<i>Samuel H. Megerditchian</i>	Date	April 21, 2004

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express mail on the date indicated below and is addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on:

EXPRESS MAIL LABEL NO.: EV 287826194 US

Name (Print/Type)	Charles Jackson	Signature	<i>Charles Jackson</i>	Date	April 21, 2004
-------------------	-----------------	-----------	------------------------	------	----------------

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and completed Forms to the following address: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

00188395



PATENT
450117-03033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Gabriele NELLES, et al.
Serial No. : 09/744,113
For : **METHOD FOR PROVIDING A SUBSTRATE
STRUCTURE FOR ORIENTED NEURITE
OUTGROWTH, SUBSTRATE STRUCTURE, AND
DEVICE FOR MONITORING NEURONS**
Filed : March 19, 2001
Examiner : Sow-Fun Hon
Art Unit : 1772

745 Fifth Avenue
New York, NY 10151

EXPRESS MAIL

Mailing Label Number: EV287826194US

Date of Deposit: April 21, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: **Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Charles Jackson
(Typed or printed name of person mailing paper or fee)

Charles Jackson
(Signature of person mailing paper or fee)

SUBMISSION PURSUANT TO 37 C.F.R. §1.114

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

This is responsive to the October 22, 2003, Final Office Action, and to the March 29, 2004 Advisory Action, and is supplemental to the February 20, 2004 Amendment. Any fee occasioned by this paper, and not accounted for by the enclosed check, may be charged, or overpayment credited, to Deposit Account No. 50-0320.

04/23/2004 MAHMED1 00000131 09744113

02 FC:1253

840.00 DP

-1-

00188082